

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ALLGEUER, Thomas T.; BENSASON, Selim; CHUM, Pak-Wing S.; DEGROOT, Jacquelyne A.; KELCH, Robert H.; LIANG, Wenbin; TORRES, Antonio; WAGNER, Werner

Filed: Concurrently Herewith

For: FRINGED SURFACE STRUCTURES OBTAINABLE IN A COMPRESSION MOLDING PROCESS

International Application No.: PCT/US00/19320

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Examiner: Unknown

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EXPRESS MAIL NUMBER: EV 044385928 US I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service, postage prepaid, under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231.

*Marty Bowen*  
Signature

*1-15-02*  
Date

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))

- a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US); however, a copy is submitted herewith, including rectified FIGS. 1-3.
6. ☐ A translation of the International Application into English (35 U.S.C. 317(c)(2)).
7. ☒ Amendments to claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
- a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4)).
10. ☐ a translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 317(c)(5)).

Items 11 to 16 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment. Please enter this amendment prior to calculating the file fee.
- ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information: Request for Priority
17. ☐ The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492(a) (1) – (5)):**

(§1.492(a)(1)) International preliminary examination fee paid to USPTO..... \$ 690.00

(§1.492(a)(2)) International preliminary examination fee not paid to USPTO,  
 but an international search fee was paid to the USPTO..... \$ 710.00

(§1.492(a)(3)) Neither the international preliminary fee nor the international  
 search fee was paid to the USPTO..... \$1000.00

(§1.492(a)(4)) International preliminary examination fee (37 CFR 1.482) not paid  
 to USPTO, and all claims satisfied the provisional of PCT Article 33 (10-(4))..... \$ 100.00

(§1.492(a)(5)) International Search Fee prepared by the EPO or JPO..... \$ 860.00

ENTER APPROPRIATE BASIC FEE AMOUNT = \$860.00

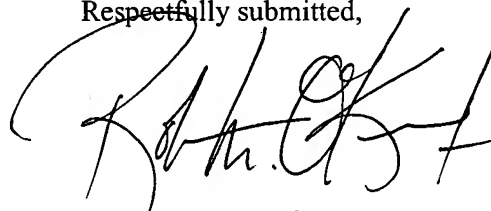
**FEE CALCULATION:**

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	Total Claims (37 CFR 1.16(e))	47 - 20 =	27	x \$ 18.00	\$ 486.00
	Independent Claims (37 CFR 1.16(b))	3 - 3 =	0	x \$ 80.00	\$0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			x \$ 270.00	\$0
				Basic Fee (from above)	\$ 860.00
				Total of above Calculations =	\$1346.00.00
	Reduction by 50% for filing by Small Entity (Note: 37 CFR 1.9, 1.27, 1.28)				\$0
	Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 29 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$0
	Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0
	<b>TOTAL NATIONAL FEE =</b>				\$
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property.				\$
	<b>TOTAL FEES ENCLOSED =</b>				<b>\$1346.00</b>

- a. ☒ A check in the amount of \$1346.00 to cover the above fees is enclosed.
- b. ☒ If the check is inadvertently omitted, or should any additional fees under 37 CFR §1.16 to 1.21, §1.492, or any other rule, be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Deposit Account No. 10-1205.
- ☒ In accordance with 37 CFR 1.136(a)(3), the Commissioner is authorized to treat any concurrent or future reply that requires a petition for an extension of time under 37 CFR 1.126(a) to be timely, as incorporating a petition for extension of time for the appropriate length of time, and the Commissioner is authorized to deduct any requisite fees under 37 CFR 1.16 to 1.21 from Deposit Account No. 10-1205.

This application is submitted prior to the 30-month deadline in the international PCT application. Please forward all correspondence to the undersigned at the address below.

Respectfully submitted,



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Reg. No. 35,630

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